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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul Reiss Art Unit: 2164
Serial No.: 10/066,120 Docket No.: HARTFORD-7
Filed: January 31, 2002 Examiner: Ann Loftus
For: METHOD AND SYSTEM FOR RECONCILING EQUITY HEDGE
FUNDS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant submits herewith references or other information of which it is aware that it believes may be material to the examination of this application, and in respect to which, there may be a duty to disclose under 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.98.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), or an admission that the information is material to patentability (37 C.F.R. 1.97(h)), or an admission that the information constitutes prior art.

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Nov. 10, 2006

By: Abby Melcher Typed or Printed Name: Abby Melcher

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed due as this filing is being made before the mailing of a first Office action on the merits. Should there be any fees due and owing with respect to this application, the Examiner is authorized to charge such fees to Deposit Account No. 50-3208.

The Examiner is respectfully requested to review the references identified herewith and make them of record in the instant application as required by M.P.E.P. § 609. The Examiner is also requested to initial one copy of Form PTO-1449 and return same to the undersigned.

Respectfully submitted,



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Date: November 10, 2006



Approved for use through 9/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for H.R. 1449 (H.R. 1449)

(Use as many sheets as necessary)

Complete if Known

Application Number	10/066,120
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Filing Date	January 31, 2002
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First Named Inventor	Paul Reiss
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Art Unit	3694
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Examiner Name	Ann Loftus
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Attorney Docket No. HARTFORD-7

SHEET 1 of 2

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	Date Considered
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¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

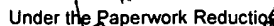
⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language translation is attached

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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SHEET 2 of 2

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

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